FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATE (REV. 11-98)	INT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNI	TED STATES	788-027
DESIGNATED/ELECTED OFFICE (I		U.S. APPLICATION NO (If known, see 37 CFR 1.5)
CONCERNING A FILING UNDER 35	· ·	09/509932
INTERNATIONAL APPLICATION NO. INTERNATIONAL		PRIORITY DATE CLAIMED
PCT/EP99/06056 18 August	1999	20 August 1998
TITLE OF INVENTION SYNERGISTIC BIOCIDE COM	POSITION	
APPLICANT(S) FOR DO/EO/US Dagmar ANTONI-ZIMMEF Hans-Jurgen SCHMIDT	MANN; Rudiger BAU	JM; Thomas WUNDER; and
Applicant herewith submits to the United States Designated/Elected	Office (DO/EO/US) the follo	owing items and other information:
1. X This is a FIRST submission of items concerning a filing to		
2 This is a SECOND or SUBSEQUENT submission of item		
3. X This express request to begin national examination proce examination until the expiration of the applicable time lim 4. X A proper Demand for International Preliminary Examination	it set in 35 U.S.C. 371(b) as	nd PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination 5. A copy of the International Application as filed (35 to 1).		onth from the earnest claimed phorny date.
a. X is transmitted herewith (required only if not		national Bureau).
b. has been transmitted by the International Bu		
c. is not required, as the application was filed		eiving Office (RO/US).
6. X A translation of the International Application into E		
7. Amendments to the claims of the International Appli		
a. 🔀 are transmitted herewith (required only if no	· ·	rnational Bureau).
 b. have been transmitted by the International E c. have not been made; however, the time limi 		ments has NOT avaired
	i for making such amend	ments has NOT expired.
 d.	PCT Article 10 (35 H S	C 371(c)(3))
9. X An oath or declaration of the inventor(s) (35 U.S.C.		C. 371(0)(3)).
		1 DOTA (1.1.20
10. A translation of the annexes to the International Preli (35 U.S.C. 371(c)(5)).	minary Examination Rep	oort under PC1 Article 36
Items 11. to 16. below concern document(s) or information		
11. An Information Disclosure Statement under 37 CFR	1.97 and 1.98.	
12. X An assignment document for recording. A separate of	over sheet in compliance	with 37 CFR 3.28 and 3.31 is included.
13. X A FIRST preliminary amendment.		
A SECOND or SUBSEQUENT preliminary amendm	ent.	
14. A substitute specification.		
15. A change of power of attorney and/or address letter.		
15. A change of power of attorney and/or address letter.	CERTIFICATE OF MAIL	ING BY *EXPRESS MAIL*
16. X Other items or information:	"EXPRESS MAIL" MAIL:	ING LABEL NUMBER EL473772488US
PCT publication with Int'l. Search	DATE OF DEPOSIT	April 3, 2000
Report; English Translation of letter to		THAT THIS PAPER OR FEE IS BEING
WIPO with amended claim 1 Form PTO-1449		THE UNITED STATES POSTAL SERVICE POST OFFICE TO ADDRESSEE"
IOLM IIO ITTI	ABOVE AND IS ADD	CFR 1.10 ON THE DATE INDICATED DRESSED TO BOX PCT, ASST.
1	COMMISSIONER OF	PATENTS, WASHINGTON, D.C. 20231
	PRINTED NAME:	JAMES V. COSPIGAN
		()/(M)
	SIGNATURE:	
		<u> </u>

U.S APPLICATION OF	7509932	NTERNATIONAL APPLICATION NÓ PCT/EP99/06056		,	ATTORNEY'S DOCK	
BASIC NATION Neither intern nor internatio	owing fees are submitted: AL FEE (37 CFR 1.492 (a ational preliminary examin nal search fee (37 CFR 1.4 nal Search Report not prep	a) (1) - (5)) : ation fee (37 CFR 1.482) 45(a)(2)) paid to USPTO	\$970.00	CAI	LCULATIONS	PTO USE ONLY
International p USPTO but It	preliminary examination feature featur	e (37 CFR 1.482) not paid to prepared by the EPO or JPO···	\$840.00			
	oreliminary examination fee search fee (37 CFR 1.445(a	(37 CFR 1.482) not paid to USF (2)) paid to USPTO	TO but			
but all claims International	did not satisfy provisions of preliminary examination fe	te paid to USPTO (37 CFR 1.48) of PCT Article 33(1)-(4) te paid to USPTO (37 CFR 1.48)	\$670.00 [2)			
and all claims	-	T Article 33(1)-(4) PRIATE BASIC FEE AM		\$	840.00	
Surcharge of \$130 months from the	0.00 for furnishing the oath earliest claimed priority da	or declaration later than . 20 te (37 CFR 1.492(e)).	30	\$	130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	8 - 20 =	0	X \$18.00	\$	0	
Independent claims	1 -3 =	0	X \$78.00	\$	0	
MULTIPLE DEPI	ENDENT CLAIM(S) (if appli		+ \$260.00	\$	0	
		OF ABOVE CALCULAT		\$	970.00	
	for filing by small entity, it (Note 37 CFR 1.9, 1.27, 1	f applicable. A Small Entity Stat .28).	ement	\$	0	
		SUBT	OTAL =	\$	970.00	
	\$130.00 for furnishing the earliest claimed priority da	English translation later than the (37 CFR 1.492(f)).	20 30 +	\$		
		TOTAL NATION	AL FEE =	\$	970.00	
		37 CFR 1.21(h)). The assignment (37 CFR 3.28, 3.31). \$40.00 pe		\$	0	
		TOTAL FEES ENC	LOSED =	\$	970.00	
				Am	ount to be: refunded	\$
					charged	\$
a. X A check	k in the amount of \$	970.00 to cover the above	ve fees is enclosed	d.		
	harge my Deposit Account cate copy of this sheet is en	No in the aclosed.	amount of \$		to cov	er the above fees.
c. X The Coroverpay	mmissioner is hereby authorment to Deposit Account 1	orized to charge any additional fo No. <u>08–1540</u> . A duplicat	ees which may be copy of this sh	e requeet is	ired, or credit a enclosed.	eny
		it under 37 CFR 1.494 or 1.49 ed to restore the application to		iet, a	petition to rev	ive (37 CFR
8	SPONDENCE TO COSTIGAN, ESQ. IBSON & COSTIGAN, 1	P. C	SIGNATU	IRE IRE	cos_	
1185 AVEN	JE OF THE AMERICAS, NY 10036-2646		\		es v. cos	TIGAN
212-302-89			NAME			
			2	25,6	69	·
			REGISTR	ATION	NUMBER	

09/509932 527 Rec'd PCT/PTO 03 APR 2000

Docket No.: 788-027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT OPERATIONS

In re Application of:

Dagmar ANTONI-ZIMMERMANN)
Rüdiger BAUM

Group Art Unit:

Thomas WUNDER

Hans-Jürgen SCHMIDT

Examiner: --

Serial No.: Not Yet Assigned

--- I Garage and I was it h

Filed: Concurrently Herewith)

For: SYNERGISTIC BIOCIDE COMPOSITION

New York, NY 10036

April 3, 2000

Box PCT

Assistant Commissioner for Patents

Washington, DC 20231

PRELIMINARY AMENDMENT

SIR:

Kindly amend claim 1 by deleting the bracketed subject matter and inserting the underlined material:

1. (amended) Biocide composition as an additive to substances susceptible to infestation by harmful organisms, containing 2-methylisothiazolin-3-one as a biocidal agent, characterized in that the biocide composition contains 3-iodo-2-propynyl-N-butylcarbamate as a further biocidal agent, with the exception of biocide compositions containing 5-chloro-2-methylisothiazolin-3-one.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL <u>EL473772488US</u> DATE OF DEPOSIT: <u>April 3, 2000</u>

I hereby certify that this paper is being deposited with the United States Postal Service by "Express Mail Post Office to Addresses" service under 37 CFR §1.10 on the date indicated above and is addressed to:

Box PCT

Assistant Commissioner for Patents Washington, DC 20231

James V. Costigan, Registration No. 25,669 (Typed on printed name of person mailing)

(Signature of person mailing paper or fee)

REMARKS

This Amendment is being filed to conform the text of claim 1 to claim 1 as amended during the PCT examination.

Respectfully submitted,

James V. Costigan

Registration No. 25,669

HEDMAN, GIBSON & COSTIGAN, P.C. 1185 Avenue of the Americas New York, NY 10036-2646 (212) 302-8989 Translation of PCT application as filed

1

Description

The invention relates to a biocide composition as an additive to substances susceptible to infestation by harmful organisms. In particular, the invention relates to a biocide composition containing 2-methylisothiazolin-3-one as a biocidal agent.

Biocidal agents are used in many areas, for example, to combat harmful bacteria, fungi, or algae. It has been known for a long time to use 4-isothiazolin-3-ones (also known as 3-isothiazolones), since these include very effective biocidal compounds.

10

15

5

One of those compounds is 5-chloro-2-methylisothiazolin-3-one. While it has a good biocidal effect, it also has various disadvantages during practical use. For example, the compound frequently triggers allergies in people who handle it. In addition, in some countries there are legal limitations for the AOX value, i.e., a specific concentration in water of organic chlorine, bromine, and iodine compounds that are absorbable by activated charcoal may not be exceeded. That then prevents the use of 5-chloro-2-methylisothiazolin-3-one to the desired extent. Moreover, the stability of that compound is insufficient under certain conditions, e.g., at high pH values or in the presence of nucleophiles or reducing agents.

20

Another known isothiazolin-3-one with a biocidal effect is 2-methylisothiazolin-3-one. While the compound does avoid various disadvantages of 5-chloro-2-methylisothiazolin-3-one, for example, the high allergy risk, it also has a much lower biocidal effect. Simply replacing 5-chloro-2-methylisothiazolin-3-one with 2-methylisothiazolin-3-one is therefore not possible.

25

It is also known to use a combination of various isothiazolin-3-ones. For example, a synergistic biocide composition is described in EP 0676140 A1 that contains 2-methylisothiazolin-3-one (2-methyl-3-isothiazolone) and 2-n-octylisothiazolin-3-one (2-n-octyl-3-isothiazolone).

In JP 01224306 (Chemical Abstracts, volume 112, no. 11, March 12, 1990, abstract no. 93924), a biocide composition is described that is made of 2-methylisothiazolin-3-one, 1,2-benzisothiazolin-3-one, and 5-chloro-2-methylisothiazolin-3-one.

5

From US 5328926, synergistic biocide compositions are known that are combinations of 1,2-benzisothiazolin-3-one and an iodopropargyl compound (iodopropynyl compound). As such a compound, 3-iodopropargyl-N-butylcarbamate is mentioned.

10

The object of the invention is to provide a biocide composition that is improved in that its components synergistically cooperate and therefore can be used with simultaneous deployment in lower concentrations compared to the necessary concentrations in the case of individual components. In that way, humans and the environment are to be less polluted and the costs of combating harmful microorganisms are to be reduced.

15

The object is attained according to the invention by a biocide composition containing 2-methylisothiazolin-3-one as a biocidal agent, which is characterized in that it contains, as a further biocidal agent, 3-iodo-2-propynyl-N-butylcarbamate.

20

The biocide composition according to the invention has the advantage that it can replace active ingredients that have previously been used in practice but that have disadvantages with regard to health and the environment, such as 5-chloro-2-methylisothiazolin-3-one.

25

Moreover, the biocide compositions according to the invention can be produced, if necessary, using only water as a liquid medium. In that regard, the addition of emulsifiers, organic solvents, and/or stabilizers is not necessary.

30

The biocide composition according to the invention contains

2-methylisothiazolin-3-one and the 3-iodo-2-propynyl-N-butylcarbamate normally in the weight ratio of (100-1): (1-50), preferably in the weight ratio of (15-1): (1-8), in particular in the weight ratio of (4-1): (1-4).

5

In the biocide composition, 2-methylisothiazolin-3-one and 3-iodo-2-propynyl-N-butylcarbamate are present in a total concentration of preferably 0.5 to 50% by weight, in particular from 1 to 20% by weight, particularly preferably from 2.5 to 10% by weight, in each case based on the total biocide composition.

10

It is useful to use the biocides of the composition according to the invention in combination with a polar or nonpolar liquid medium. In that regard, that medium can be, for example, already present in the biocide composition and/or in the material to be preserved.

15

20

Preferable polar liquid media are water, an aliphatic alcohol having 1 to 4 carbon atoms, e.g., ethanol and isopropanol, a glycol, e.g., ethylene glycol, diethylene glycol, 1,2-propylene glycol, dipropylene glycol, and tripropylene glycol, a glycol ether, e.g., ethylene glycol monobutyl ether and diethylene glycol monobutyl ether, a glycol ester, e.g., butyl diglycol acetate, 2,2,4-trimethylpentanediolmonoisobutyrate, a polyethylene glycol, a polypropylene glycol, N,N-dimethylformamide, or a mixture of such substances. The polar liquid medium is in particular water, with the corresponding biocide composition preferably being neutral in its pH value, e.g., adjusted to a pH value of 6 to 8.

25

As a nonpolar liquid medium, aromatics, preferably xylene and toluene, are used.

The biocide composition according to the invention can also simultaneously be combined with a polar and a nonpolar liquid medium.

30

The biocide composition according to the invention can also contain one or more additional biocidal ingredients, which are selected as a function of the area of application.

Special examples of such additional biocidal agents are listed below.

	Benzyl alcohol
	2,4-dichlorobenzyl alcohol
5	2-phenoxyethanol
	2-plienoxyethanol hemiformal
	Phenylethyl alcohol
	5-bromo-5-nitro-1,3-dioxane
	Formaldehyde and formaldehyde releasing substances
10	Dimethylol dimethylhydantoin
	Glyoxal
	Glutardialdehyde
	Sorbic acid
	Bbenzoic acid
15	Salicylic acid
	P-hydroxybenzoic acid ester
	Chloroacetamide
	N-methylolchloroacetamide
,	Phenois such as p-chloro-m-cresol and o-phenylphenol
20	N-methylolurea
	N,N'-dimethylolurea
	Benzyl formal
	4,4-dimethyl-1,3-oxazolidine
	1,3,5-hexahydrotriazine
25	Quaternary ammonium compounds, such as
	N-alkyl-N,N-dimethylbenzyl ammonium chloride and
	di-n-decyldimethyl ammonium chloride
	Cetyl pyridinium chloride
	Diguanidin
30	Polybiguanide
	Chlorhavidina

•	
	1,2-dibromo-2,4-dicyanobutane
	3,5-dichloro-4-hydroxybenzaldehyde
	Ethylene glycol hemiformal
	Tetra-(hydroxymethyl)-phosphonium salts
5	Dichlorophene
	2,2-dibromo-3-nitrilopropionic acid amide
	Methyl-N-benzimidazole-2-ylcarbamate
	2-n-octylisothiazolin-3-one
	4,5-dichloro-2-n-octylisothiazolin-3-one
10	4,5-trimethylene-2-methylisothiazolin-3-one
	2,2'-dithio-dibenzoic acid-di-N-methylamide
	Benzisothiazolinone derivatives
	2-thiocyanomethylthiobenzothiazole
	C-formals, such as
15	2-hydroxymethyl-2-nitro-1,3-propandiol
	2-bromo-2-nitropropane-1,3-diol
	Reaction products of allantoin
	Examples of the formaldehyde retardant substances are
20	N-formals such as
	N,N'-dimethylolurea
	N-methylolurea
	Dimethylol dimethyllydantoin
	N-methylol chloroacetamide
25	Reaction products of allantoin
	Glycol formals, such as
	Ethylene glycol formal
	Diethylene glycol monobutyl ether formal
	Benzyl formal

The biocide composition according to the invention can contain other common ingredients that are known to those skilled in the art in the area of biocides. They are, for example, thickeners, anti-foaming agents, substances for adjusting pH value, aromas, dispersion aids, and coloring agents.

5

2-Methylisothiazolin-3-one and 3-iodo-2-propynyl-N-butylcarbamate are known substances. 2-Methylisothiazolin-3-one can be prepared, for example, according to US 5466818. The reaction product thus obtained can be purified using, for example, column chromatography. The reaction product obtained when that is done can be purified using, for example, column chromatography.

10

3-Iodo-2-propynyl-N-butylcarbarnate is commercially available, for instance, from Troy Chemical Company under the trade names Polyphase®, Polyphase® AF-1, and Polyphase® NP-1 or from Olin Corporation under the trade name Omacide® IPBC 100.

15

The biocide composition according to the invention is a system in which the combination of 2-methylisothiazolin-3-one and 3-iodo-2-propynyl-N-butylcarbamate synergistically develops a biocidal effect that is greater than that possessed by each of those compounds alone.

20

The biocide composition according to the invention can be used in very different areas. It is suitable, for example, for use in paints, plasters, lignin sulfonates, whitewashes, adhesives, photochemicals, products containing casein, products containing starch, asphalt emulsions, surfactant solutions, fuels, cleaning agents, cosmetic products, water systems, polymer dispersions, and cold lubricants for protecting against infestation, for example, by bacteria, filamentous fungi, yeasts, and algae.

30

25

In practical application, the biocide composition can either be applied as a readymade mixture or by separately adding the biocides and the other components of the composition to the substance to be preserved. The Examples explain the invention.

Example 1

5

This Example demonstrates the synergy of combinations of 2-methylisothiazolin-3-one and 3-iodo-2-propynyl-N-butylcarbamate in the biocide composition according to the invention.

10

For that purpose, aqueous mixtures with different concentrations of 2-methylisothiazolin-3-one (MIT) and 3-iodo-2-propynyl-N-butylcarbamate (IPBC) were produced and the effects of those mixtures on *Saccharomyces cerevisiae* were tested.

15

In addition to the biocide components and water, the aqueous mixtures also contained a nutrient medium, specifically a Sabouraud maltose broth (trade product "Merck No. 10393"). The cell density of Saccharomyces cerevisiae was 10⁶ cells/ml. The incubation time was 72 hours at 25° C. Each sample was incubated at 120 r.p.m. on an incubation shaker.

20

Table I below provides the concentrations of MIT and IPBC that were used. It also shows whether growth of the microorganism occurred ("+" symbol) or not ("-" symbol).

25

Table I therefore also shows the minimal inhibitory concentration (MIC). Accordingly, with the use of MIT alone the result was an MIC value of 150 ppm and with the use of IPBC alone the result was an MIC value of 10 ppm. In contrast, the MIC values of mixtures of MIT and IPBC are clearly lower; in other words, MIT and IPBC have a synergistic effect in combination.

Table I

30

MIC values for Saccharomyces cerevisiae at an incubation time of 72 hours

MIT Concen- tration (ppm)				IP	BC cor	icentrat	tion (pr	om)			
	15	12.5	10	7.5	5	4	3	2	1	0.5	0
300	-	-	-		-	-	-	-		 •	
250	-	-	-	-		 -	 	-	 	-	<u> </u>
200	-	-	_	-	-	-	 -	 		 	
150	-	-		-	-	<u> </u>	-	 -	 	 	
100	-	-	-	-	-	 _ -			+	+	+
75	_	-	-	-	_	 	+	+	+	+	+
50	-	-		<u> </u>	-	+	+	+	+	+	+
25	-	_		 _		+	+	+	+	+	+
15	-	-			+	+	+	+	+	+	
10	 _	<u> </u>		_	+	+	+				+
5								+	+	+	+
0		-			+	+	+	+	+	+	+
U		-	-	+	+	+	+	+	+	+	+

The synergy that occurs is shown in numerical terms based on the calculation of the synergy index shown in Table II. The calculation of the synergy index is performed according to the method by F. C. Kull et al., Applied Microbiology, vol. 9 (1961), p. 538. The synergy index is calculated here using the following formula:

Synergy index SI =
$$Q_x/Q_A + Q_b/Q_B$$
.

When this formula is used for the biocide system tested here, the variables in the formula have the following meaning:

Q_a = Concentration of MIT in biocide mixture of MIT and IPBC
 Q_A = Concentration of MIT as the only biocide
 Q_b = Concentration of IPBC in biocide mixture of MIT and IPBC
 Q_B = Concentration of IPBC as the only biocide

When the synergy index shows a value greater than 1, that means that an

antagonism is present. When the synergy index has a value of 1, that means there was an addition of the effect of both biocides. When the synergy index has a value of less than 1, that means that a synergy of the two biocides exists.

Table II

Calculation of the synergy index for Saccharomyces

cerevisiae at an incubation time of 72 hours

MI	C at		Conce	ntration	Q ₃ /Q _A	Q_b/Q_B	Synergy index
MIT concentration Q _a (ppm)	IPBC concentration Q _b (ppm)	Total concentration MIT + IPBC Q ₂ + Q _b (ppm)	MIT	IPBC (% wt)			Q ₄ /Q _A + Q _b /Q _B
0	10	10	0.0	100.0	0.00	1.00	1.00
5	7.5	12.5	40.0	60.0	0.03	0.75	0.78
10	7.5	17.5	57.1	42.9	0.07	0.75	0.82
25	5	30	83.3	16.7	0.17	0.50	0.67
50	5	55	90.9	9.1	0.33	0.50	0.83
75	4	79	94.9	5.1	0.50	0.40	0.90
100	2	102	98.0	2.0	0.67	0.20	0.87
150	0	150	100.0	0.0	1.00	0.00	1.00

Table II shows that the optimum synergy, e.g., the lowest synergy index (0.67) of an MIT/IPBC mixture, was at a mixture of 83.3% by weight MIT and 16.7% by weight IPBC.

Example 2

Example 1 was repeated with the change that the incubation time was 96 hours instead of 72 hours.

Table III below shows the MIC values of the tested biocide compositions. The MIC value with the use of MIT alone was 150 ppm and with the use of IPBC alone10 ppm.

25

5

10

15

20

Table III

MIC values for Saccharomyces cerevisiae
at an incubation time of 96 hours

5	MIT Concen- tration (ppm)				IPI	3C con	centrat	ion (pp	m)			
		15	12.5	10	7.5	5	4	3	2	1	0.5	0
10	300	-	-		-		-	-	-	-	-	-
	250	-	-	-	-	•	-	-	-	,	-	-
	200	-	-	-	-	-	-	-	-	-	-	-
	150	-	-	-	-	-	-	-	-	-	-	-
	100	-	-	-	-	-	-	-	-	+	+	+
15	75	-	-	-	-	-	-	+	+	+	+	+
	50	-	-	-	-	-	+	+	+	+	+	+
	25	-	-	-	-	-	+	+	+	+	+	+
	15	-	-	-	-	+	+	+	+	+	+	+
	10	-	-	-	-	+	+	+	+	+	+	+
20	5	_	-	-	-	+	+	+	+	+	+	+
	0	-	-	-	+	+	+	+	+	+	+	+

With simultaneous use of MIT and IPBC, a synergy occurred. The calculation of the synergy index is shown in Table IV. According to it, the lowest synergy index (0.67) for Saccharomyces cerevisiae was at a mixture of 83.3% by weight MIT and 16.7% by weight IPBC.

10

15

20

25

30

Table IV

Calculation of the synergy index for Saccharomyces

cerevisiae at an incubation time of 96 hours

12

	C at		Conce	ntration	Q _a /Q _A	Q_b/Q_B	Synergy
MIT concentration Q _a (ppm)	IPBC concentration Q _b (ppm)	Total concentration MIT + IPBC Qa + Qb (ppm)	MIT	IPBC (% wt)			Q ₂ /Q _A + Q _b /Q _B
0	10	10	0.0	100.0	0.00	1.00	1.00
5	7.5	12.5	40.0	60.0	0.03	0.75	0.78
10	7.5	17.5	57.1	42.9	0.07	0.75	0.82
25	5	30	83.3	16.7	0.17	0.50	0,67
50	5	55	90.9	9.1	0.33	0.50	0.83
75	4	79	94.9	5.1	0.50	0.40	0.90
100	2	102	98.0	2.0	0.67	0.20	0.87
150	0	150	100.0	0.0	1.00	0.00	1.00

Example 3

As in Example 1, the synergy of MIT and IPBC in relation to the microorganism Candida valida is demonstrated.

The test arrangements again included a Sabouraud maltose broth as culture medium. The cell density was 10⁶ cells/ml. The incubation time was 96 hours at 25° C. Every sample was incubated at 120 r.p.m. on an incubation shaker.

Table V below shows the MIC values of the tested biocide compositions. The MIC value with the use of MIT alone was 75 ppm and 2.5 ppm with the use of IPBC alone.

Table V

MIC values for Candida valida
at an incubation time of 96 hours

5	MIT Concen- tration (ppm)				IP	BC cor	ncentrat	ion (p	pm)			
		7.5	5	2.5	2	1.5	1.25	1	0.75	0.5	0.25	0
10	300	-		-	-	-	-	-	-			
	250	-	-	-	-	-	_	-			-	-
	200	-					_		┼──	-	-	
	150	-		—	-				ļ <u>.</u>	-		
	100	 		-			-		-	-		-
15	75	- -		 -	-		<u> </u>		-		-	-
	50	+ - +				-	-				-	
	25	+			-	-	-		+	+	+	+
	15			-	-		-	-	+	+	+	+
	10			-		-	+	+	+	+	+	+
20	5	 -			-	-	+	+	+	+	+	+
20		- -		-	+	+	+	+	+	+	+	+
	0	<u> </u>	•		+	+	+	+	+	+	+	+

With simultaneous use of MIT and IPBC, a synergy occurred. The calculation of the synergy index is shown in Table VI. According to it, the lowest synergy index (0.73) for *Candida valida* was at a mixture of 87.0% by weight MIT and 13% by weight IPBC, as well as at a mixture of 96.2% by weight MIT and 3.8% by weight IPBC.

Table VI

Calculation of synergy for Candida valida

at an incubation time of 96 hours

14

MI	C at		Conce	ntration	Q_s/Q_A	Q_b/Q_B	Syner
MIT concen- tration Q _a (ppm)	IPBC concentration Q _b (ppm)	Total concentration MIT + IPBC Q _a + Q _b (ppm)	MIT (% wt)	IPBC (% wt)			Q./Q, Q./Q
0	2.5	2.5	0.0	100.0	0.00	1.00	1.00
10	2	12	83.3	16.7	0.13	0.80	0.93
10	1.5	11.5	87.0	13.0	0.13	0.60	0.73
15	1.5	16.5	90.9	9.1	0.20	0.60	0.80
25	1.5	26.5	94.3	5.7	0.33	0.60	0.93
25	1.25	26.25	95.2	4.8	0.33	0.50	0.83
25	1	26	96.2	3.8	0.33	0.40	0.73
75	0	75	100.0	0.0	1.00	0.00	1.00

Example 4

As in Example 1, the synergy of the two active ingredients MIT and IPBC in relation to the microorganism Aspergillus niger is demonstrated.

The test arrangements again included a Sabouraud maltose broth as culture medium. The cell density was 10⁶ cells/ml. The incubation time was 96 hours at 25° C. Every sample was incubated at 120 r.p.m. on an incubation shaker.

Table VII below shows the MIC values of the tested biocide compositions. The MIC value with the use of MIT alone was 750 ppm and with the use of IPBC alone 5 ppm.

30

5

10

15

20

Table VII

MIC values for Aspergillus niger
at an incubation time of 96 hours

5	MIT Concentration (ppm)				IP	BC con	centra	tion (pp	m)			
		5	2.5	2	1.5	1.25	1	0.75	0.5	0.25	0.1	0
10	750	-	_	-	-	-	-	-	-	-	-	-
	500	-	-	-	-	-	-	+	+	+	+	+
	250	-	-	-	+	+	+	+	+	+	+	+
	100	-	-	+	+	+	+	+	+	+	+	+
	50	-	+	+	+	+	+	+	+	+	+	+
15	40	-	+	+	+	+	+	+	+	+	+	+
	30	-	+	+	+	+	+	+	+	+	+	+
	20	-	+	+	+	÷	+	+	+	+	+	+
	15	T -	+	+	+	+	+	+	+	+	+	+
	10	-	+	+	+	+	+	+	+	+	+	+
20	7.5	-	+	+	+	+	+	+	+	+	+	+
	5	-	+	+	+	+	+	+	+	+	+	+
	0	-	+	+	+	+	+	+	+	+	+	+

With simultaneous use of MIT and IPBC, a synergy occurred. The calculation of the synergy index is shown in Table VIII. According to it, the lowest synergy index (0.63) for Aspergillus niger was at a mixture of 97.6% by weight MIT and 2.4% by weight IPBC.

10

15

20

25

30

Table VIII

Calculation of the synergy index for Aspergillus niger
at an incubation time of 96 hours

16

MI	C at		Concer	ntration	Q _z /Q _A	Q_b/Q_B	Synergy index
MIT concentration Q _a (ppm)	IPBC concentration Q _b (ppm)	Total concentration MIT + IPBC Q ₃ + Q ₅ (ppm)	MIT (% wt)	IPBC (% wt)			Q ₂ /Q _A + Q _b /Q _B
0	5	5	0.0	100.0	0.00	1.00	1.00
100	2.5	102.5	97.6	2.4	0.13	0.50	0.63
250	2.5	252.5	99.0	1.0	0.33	0.50	0.83
250	2	252	99.2	0.8	0.33	0.40	0.73
500	1.5	501.5	99.7	0.3	0.67	0.30	0.97
500	1.25	501.25	99.8	0.2	0.67	0.25	0.92
500	1	501	99.8	0.2	0.67	0.20	0.87
750	0	750	100.0	0.0	1.00	0.00	1.00

Example 5

As in Example 1, the synergy of the two active ingredients MIT and IPBC in relation to the microorganism *Penicillium funiculosum* is demonstrated.

The test arrangement again included a Sabouraud maltose broth as culture medium. The cell density was 10⁶ germs/ml. The incubation time was 72 hours at 25° C. Every sample was incubated at 120 r.p.m. on an incubation shaker.

Table IX below shows the MIC values of the tested biocide compositions. The MIC value with the use of MIT alone was 200 ppm and with the use of IPBC alone 1.5 ppm.

Table IX

MIC values for Penicillium funiculosum at an incubation time of 72 hours

5	MIT Concentration (ppm)	IPBC concentration (ppm)										
		5	2.5	2	1.5	1.25	1	0.75	0.5	0.25	0.1	0
10	200	-	-	-	-	-	-	-	-		-	-
	150	-	-	-	-	-	-	-	-	-	+	+
	100	-	-	-	-	-	-	-	-	+	+	+
	75	-	-	-	-	-	-	-	-	+	+	+
	50	-	_	-	-	-	-	+	+	+	+	+
15	40	-	-	-	-	-	-	+	+	+	+	+
	30	-	-	-	-	-	-	+	+	+	+	+
	20	-	-	-	-	-	+	+	+	+	+	+
	15	-	-	-	-	-	+	+	+	+	+	+
	10	1 -	-	-	-	-	+	+	+	+	+	+
20	5	-	-	-	-	+	+	+	+	+	+	+
	0		•	-	-	+	+	+	+	+	+	+

With simultaneous use of MIT and IPBC, a synergy occurred. The calculation of the synergy index is contained in Table X. According to it, the lowest synergy index (0.71) for *Penicillium funiculosum* was at a mixture of 99.3% by weight MIT and 0.7% by weight IPBC.

Table X

Calculation of the synergy index for Penicillium funiculosum at an incubation time of 72 hours

MI	C at		Conce	ntration	Q ₃ /Q _A	Q_b/Q_B	Synergy index
MIT concentration Q _a (ppm)	IPBC concentration Q _b (ppm)	Total concentration MIT + IPBC Q ₂ + Q _b (ppm)	MIT (% wt)	IPBC (% wt)			Q ₂ /Q _A + Q ₂ /Q _B
0	1.5	1.5	0.0	100.0	0.00	1.00	1.00
10	1.25	11.25	88.9	11.1	0.05	0.83	0.88
15	1.25	16.25	92.3	7.7	0.08	0.83	0,91
20	1.25	21.25	94.1	5.9	0.10	0.83	0.93
30	1	31	96.8	3.2	0.15	0.67	0.82
40	1	41	97.6	2.4	0.20	0.67	0.87
50	1	51	98.0	2,0	0.25	0.67	0.92
75	0.75	75.75	99.0	1.0	0.38	0.50	0.88
75	0.5	75.5	99.3	0.7	0.38	0.33	0.71
150	0.25	150.25	99.8	0.2	0.75	0.17	0.92
200	0	200	100.0	0.0	1.00	0.00	1.00

Example 6

Example 5 was repeated with the change that the incubation time was 96 hours instead of 72 hours.

Table XI below shows the MIC values of the tested biocide compositions. The MIC value with the use of MIT alone was 200 ppm and with the use of IPBC alone 1.5 ppm.

Table XI

MIC values for Penicillium funiculosum at an incubation time of 96 hours

MIT Concen- tration (ppm)	IPBC concentration (ppm)										
	5	2.5	2	1.5	1.25	1	0.75	0.5	0.25	0.1	0
200	-	-	-	-	-	-	-	-	-	-	-
150	-	-	-	-	-		-	-	-	+	+
100	-	1 -	-	-	-	-	-	-	+	+	+
75	-	-	•	-	-	-	-	-	+	+	+
50	-	-	-	-	-	_	+	+	+	+	+
40	-	-	-	-	-	-	+	+	+	+	+
30	-	-	-	-	+	+	+	+	+	+	+
20	-	-	-	-	+	+	+	+	+	+	+
15	-	-	-	-	+	+	+	+	+	+	+
10	-	-	-	-	+	+	+	+	+	+	+
5	-	-	-	-	+	+	+	+	+	+	+
0	-	-	-	-	+	+	+	+	+	+	+

With simultaneous use of MIT and IPBC, a synergy occurred. The calculation of the synergy index is contained in Table XII. According to it, the lowest synergy index (0.71) for *Penicillium funiculosum* was at a mixture of 99.3% by weight MIT and 0.7% by weight IPBC.

20 <u>Table XII</u> Calculation of the synergy index for *Penicillium*funiculosum at an incubation time of 96 hours

MI	C at		Concer	ntration	Q _z /Q _A	Q_b/Q_B	Synergy index
MIT concentration Q _a (ppm)	IPBC concentration Q _b (ppm)	Total concentration MIT + IPBC Q _a + Q _b (ppm)	MIT (% wt)	IPBC (% wt)			Q ₂ /Q _A + Q _b /Q _B
0	1.5	1.5	0.0	100.0	0.00	1.00	1.00
40	1	41	97.6	2.4	0.20	0.67	0.87
50	1	51	98.0	2.0	0.25	0.67	0.92
75	0.75	75.75	99.0	1.0	0.38	0.50	0.88
75	0.5	75.5	99.3	0.7	0.38	0.33	0.71
150	0.25	150.25	99.8	0.2	0.75	0.17	0.92
200	0	200	100.0	0.0	1.00	0.00	1.00

15

20

30

March 1, 2000

amended

Claims

- 1. Biocide composition as an additive to substances susceptible to infestation by harmful organisms, containing 2-methylisothiazolin-3-one as a biocidal agent, characterized in that the biocide composition contains 3-iodo-2-propynyl-N-butylcarbamate as a further biocidal agent, with the exception of biocide compositions containing 5-chloro-2-methylisothiazolin-3-one.
- 2. Biocide composition according to claim 1, characterized in that it contains
 2-methylisothiazolin-3-one and 3-iodo-2-propynyl-N-butylcarbamate in a weight
 ratio of (100-1): (1-50).
 - 3. Biocide composition according to claim 2, characterized in that it contains 2-methylisothiazolin-3-one and 3-iodo-2-propynyl-N-butylcarbamate in a weight ratio of (15-1): (1-8).
 - 4. Biocide composition according to any one of claims 1 through 3, characterized in that it contains 2-methylisothiazolin-3-one and 3-iodo-2-propynyl-N-butylcarbamate in a total concentration of 1 to 20% by weight, based on the total biocide composition.
 - 5. Biocide composition according to anny one of claims 1 through 4, characterized in that it contains a polar and/or a nonpolar liquid medium.
- 25 6. Biocide composition according to claim 5, characterized in that it contains as polar liquid medium water, an aliphatic alcohol having 1 to 4 carbon atoms, a glycol, a glycol ether, a glycol ester, a polyethylene glycol, a polypropylene glycol, N,N-dimethylformamide, 2,2,4-trimethylpentanediolmonoisobutyrate, or a mixture of such substances.
 - 7. Biocide composition according to claim 6, characterized in that the polar liquid medium is water and the composition has a pH value of 6 to 8.

8. Use of a biocide composition according to any one of claims 1 through 7 for combatting harmful microorganisms.

Abstract

A biocide composition is disclosed as an additive to substances susceptible to infestation by harmful organisms, containing 2-methylisothiazolin-3-one as a biocidal agent. The composition is characterized in that it contains 3-iodo-2-propynyl-N-butylcarbamate as a further biocidal agent. In comparison to its individual constituents, the composition provided by the invention presents a synergistic biocidal action.

Docket No: <u>788-027</u>

APPLICATION FOR UNITED STATES LETTERS PATENT DECLARATION, POWER OF ATTORNEY, AND PETITION

As a below-named inventor, I declare that:

My residence, post office address and citizenship are as stated next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the invention which is described and which is claimed in the specification, entitled: SYNERGISTIC BIOCIDE COMPOSITION

The specification [] is attached hereto [x] was filed on April 3, 2000, as Application Serial No. 09/509,932.

- I hereby state that I have reviewed and understand the contents of said specification, including the claims, as amended by any amendment referred to above.
- I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37. Code of Federal Regulations, §1.56(a).
- I hereby claim foreign priority benefits under Title 35, United States Code, \$119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

COUNTRY	APPLICATION NUMBER	DATE (Day, Month, Year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119				
EP	98115723.3	20 August 1998	Yes [X] No []				
PCT	PCTEP99/06056	18 August 1999	Yes [X] No []				

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

¹In Non-Convention cases, a listing of all filings and current status of cases filed more than a year before the U.S. filing is required to comply with 37 CFR 1.56(a). Such a listing may be attached.

-2-

APPLICATION SERIAL NO.	FILING DATE	STATUS

I hereby appoint my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the U.S. Patent & Trademark Office connected therewith:

Edward A. Hedman, Reg. No. 22,120; Thomas M. Gibson, Reg. No. 24,638; James V. Costigan, Reg. No. 25,669; Kenneth F. Florek, Reg. No. 33,173; Alan B. Clement, Reg. No. 34,563; and Martin P. Endres, Reg. No. 35,498.

CORRESPONDENCE AND CALLS TO:

James V. Costigan, Esq. HEDMAN, GIBSON & COSTIGAN, P.C. 1185 Avenue of the Americas New York, NY 10036-2601 Telephone: (212) 302-8989

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	INVENTOR (5)	DATE	RESIDENCE AND P.O. ADDRESS
FA	Name: Dagmar Antoni- Zimmermann Signature: D. Mud-	Date: 26.07.00 Citizen of: Germany	Christian-Eberle- Straße 2a 67346 Speyer Germany
gl	Name: Rüdiger Baum Signature: Signature: Sau	Date: 26.7.00 Citizen of: Germany	Goethestraße 29 68753 Waghäusel Germany
3	Name: Thomas Wunder Signature: / Womas Minde	Date: 07.08.00 Citizen of: Germany	Böhläckerstraße 15 67435 Langenschemetstr. He Neustadt/Weinstraße Germany

EMPFANGSZEIT 25.JUL. 10:36

-3-

Name: Hans-Jürgen Schmidt

Signature:

Häus-Jüge Volumidt

Date: 7 8 2000

Citizen of: Germany

Germany

Germany